

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
 CSO-0001-P

Re Application Of: **Sophie Chen**

Serial No.  
 10/647,458

Filing Date  
 8/1/2003

Examiner

Group Art Unit

Title:

**BOTANICAL EXTRACT COMPOSITIONS AND METHODS OF USE**

Address to:

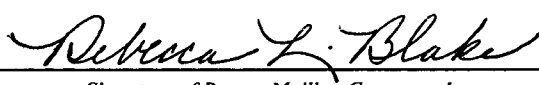

Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. <b>CSO-0001-P</b>
In Re Application: <b>Sophie Chen</b>			
Serial No. <b>10/647,458</b>	Filing Date <b>8/1/2003</b>	Examiner	Group Art Unit
<b>BOTANICAL EXTRACT COMPOSITIONS AND METHODS OF USE</b>			
<b>Payment of Fee</b> (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))			
<input type="checkbox"/> A check in the amount of _____ is attached. <input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. <b>06-1130</b> as described below. <div style="margin-left: 40px;"> <input type="checkbox"/> Charge the amount of _____  <input type="checkbox"/> Credit any overpayment.  <input checked="" type="checkbox"/> Charge any additional fee required.         </div>			
<b>Certificate of Transmission by Facsimile*</b> <div style="border: 1px solid black; padding: 5px; margin-top: 10px;">         I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F: _____)   <div style="text-align: center; margin-top: 10px;">           _____            (Date)         </div> <div style="text-align: center; margin-top: 10px;">           _____            Signature         </div> <div style="text-align: center; margin-top: 10px;">           _____            Typed or Printed Name of Person Signing Certificate         </div> </div>		<b>Certificate of Mailing by First Class Mail</b> <div style="border: 1px solid black; padding: 5px; margin-top: 10px;">         I certify that this document and fee is being deposited on <b>11/12/2003</b> with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.   <div style="text-align: center; margin-top: 10px;">             Signature of Person Mailing Correspondence         </div> <div style="text-align: center; margin-top: 10px;"> <b>Rebecca L. Blake</b>            Typed or Printed Name of Person Mailing Certificate         </div> </div>	
<div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p><b>*This certificate may only be used if paying by deposit account.</b></p> <div style="margin-top: 10px;">             Karen A. LeCuyer            Registration No. 51,928            Customer No. 23413            Telephone No. 860-286-2929         </div> </div> <div style="width: 45%; text-align: right;"> <p>Dated: <b>November 12, 2003</b></p> </div> </div>			
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 EXAMINER  
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# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

CSO-0001-P

Applicant No. 10647458

GAU: 1612  
10/647,458

Applicant(s)

Sophie Chen

Filing Date

8/1/2003

Group Art Unit

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Ikezoe et al., "Oridonin Induces Growth Inhibition and Apoptosis of a Variety of Human Cancer Cells", Int. J. Oncol.23: 1187-1193, 2003

EXAMINER

/Chris Simmons/

DATE CONSIDERED

06/04/2009

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.